



## **Stephanie J. Baton**

Partner

Providence

401-457-5384

[sbaton@hinckleyallen.com](mailto:sbaton@hinckleyallen.com)

### **Practices**

Corporate & Business, Mergers & Acquisitions, Private Equity & Venture Capital

### **Education**

Roger Williams University School of Law, J.D., 2009

*summa cum laude*

*Roger Williams University Law Review*

Brown University, B.A., 2003

*Political Science*

*magna cum laude*

### **About**

Stephanie is a member of our Corporate & Business practice. She is a practical, business-minded attorney whose unique background enables her to better understand her clients and the impact legal issues have on their business as a whole. Stephanie began her career on Wall Street working in interest rate swaps and credit derivatives. She then went on to represent private equity and corporate clients in acquisitions & divestitures, and counseled clients on general corporate matters, including governance and securities law compliance.

Stephanie then moved to in-house counsel representation, most recently as Vice President & General Counsel of Nortek, Inc.'s Security & Control Group. In that role, she led all legal matters related to the business, including acquisitions and divestitures, corporate governance, commercial contracts, intellectual property, product liability, compliance, labor

and employment, regulatory and litigation. As a member of the executive leadership team, Stephanie provided strategic advice helping the business grow while at the same time minimizing legal exposure.

Prior to that role, Stephanie was Associate General Counsel for Nortek, Inc., where she was responsible for acquisitions and divestitures, financing activities, corporate governance matters, SEC reporting and providing legal support for Nortek and its 30+ subsidiaries worldwide. Stephanie also has significant experience in process improvement and change management, having designed and implemented global anti-bribery, intellectual property management, and contract management programs for Nortek.

Though her practice has consistently remained primarily focused on transactional work, Stephanie's varied experience gives her a holistic view of businesses and the issues that really matter to them.

## **Credentials**

### **Work Experience**

Hinckley Allen

- Partner (2017-Present)

Nortek, Inc.

- Group Vice President & General Counsel, Security & Control Group (2015-2017)
- Associate General Counsel, Corporate (2012-2015)

Weil, Gotshal & Manges, LLP

- Associate (2010-2012)

Morgan Stanley

- Manager, Credit Derivative Documentation (2005-2006)

Goldman Sachs & Co.

- Senior Analyst, Credit Derivative and Interest Rate Swap Documentation (2003-2005)

### **Charitable & Civic Involvement**

- The University Club of Providence

### **Bar Memberships**

- Massachusetts
- Rhode Island

## Latest News & Insight

### Publications

SBA Issues Interim Final Rule for Paycheck Protection Program: Key Takeaways for Your Loan Application, April 3, 2020

Payroll Loans and Loan Forgiveness: New Relief Opportunities for Small Businesses under the CARES Act's Paycheck Protection Program, March 27, 2020

### Firm News

Who's Who at Hinckley Allen: Stephanie J. Baton, September 9, 2019

Hinckley Allen's Corporate & Business Group Acquires New Partner, Stephanie J. Baton, February 15, 2017



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